Transient nucleation near the mean-field spinodal

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Nucleation is considered near the pseudospinodal in a one-dimensional ϕ^4 model with a nonconserved order parameter and long-range interactions. For a sufficiently large system or a system with slow relaxation to metastable equilibrium, there is a non-negligible probability of nucleation occurring before reaching metastable equilibrium. This process is referred to as transient nucleation. The critical droplet is defined to be the configuration of maximum likelihood that is dynamically balanced between the metastable and stable wells. Time-dependent droplet profiles and nucleation rates are derived, and theoretical results are compared to computer simulations. The analysis reveals a distribution of nucleation times with a distinct peak characteristic of a nonstationary nucleation rate. Under the quench conditions employed, transient critical droplets are more compact than the droplets found in metastable equilibrium simulations and theoretical predictions.

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I. INTRODUCTION

Standard nucleation theory predicts a single metastable equilibrium nucleation rate, which gives rise to an exponential distribution of nucleation times. A key assumption in the application of the standard theory is that the system is in metastable equilibrium. In practice, any system that has undergone a quench relaxes into metastable equilibrium. During this relaxation, the system has a finite probability of initiating a decay to the stable phase via an isolated droplet. This process, referred to as transient nucleation, is of both practical and theoretical interest. Experimentally, transient nucleation has been observed in the laser melting of thin silicon films [1], crystallization in amorphous alloys [2,3], and liquid crystals [4]. Theoretical work has concentrated on mean-field kinetic descriptions [5-12]. Studies of transient nucleation in ϕ^4 models [13–16] have shown a distribution of nucleation times with a distinct peak and exponential tail.

In a mean-field system, the spinodal defines the limit of metastability. The spinodal divides the phase diagram into two regions; one region exhibits a metastable phase while the other does not. In a system with finite but long-range interactions, the analogous limit of metastability is defined by the pseudospinodal. As the interaction range increases, statistics at the pseudospinodal converge to the corresponding meanfield spinodal values. As the pseudospinodal is approached, the metastable system exhibits diverging susceptibility, correlation length, and correlation times that are characteristic of a critical point [17,18]. Nucleation near a pseudospinodal is structurally different from classical nucleation near the coexistence curve. In classical nucleation, droplets are compact fluctuations of the stable phase with a sharp interface separating the interior and exterior [19]. However, near the pseudospinodal, droplets do not exhibit a well-defined interface or a stable-phase interior [20]. Evidence for nucleation near the pseudospinodal is observed in deeply quenched liquids [21,22] and in solid-solid phase transitions [23,24]. Our objective is to study transient nucleation rates and transient critical-droplet profiles in a system with long-range interactions near the pseudospinodal.

To make these ideas precise, we consider purely dissipative dynamics described by a Markovian Langevin equation that is a sum of a deterministic drift term and a stochastic noise term with zero mean. We define the metastable well to be the set of configurations that would follow the (noiseless) deterministic drift to a stationary configuration that is not the global energy minimum. The metastable well boundary \mathfrak{B} consists of configurations that, upon random perturbation, would each drift to the metastable minimum with probability 1/2. A similar definition is employed by Roy *et al.* and others [25–27]. Transient critical droplets are defined as the most probable configuration on the metastable well boundary at a given time *t* since the quench.

We define the *nucleation time* as the latest time after the quench such that the system configuration was located on the metastable well boundary; the *nucleating droplet* is the corresponding system configuration. A system has nucleated when there is a negligible probability of returning to the metastable well.

Because the nucleation rate is an extensive quantity, transience is best observed when the relaxation to metastable equilibrium is slow and the system size is large. Our primary results are that in magnetic field quenches at a temperature below the critical temperature, the transient nucleation rate is adequately described by a quasiequilibrium theory. Near the pseudospinodal, transient droplets are more compact than those that nucleate in metastable equilibrium.

In Sec. II, we introduce the model and review the fieldtheoretic treatment of nucleation near the mean-field spinodal, the basis for the subsequent analysis. Section III considers the time-dependent likelihood of nonequilibrium states characterizing relaxation to metastable equilibrium. In Sec. IV, we find the time-dependent nucleation rate and transient critical droplet as a perturbation about the metastable equilibrium critical droplet. In Sec. V, we compare our theoretical treatment to results from computer simulations. Section VI interprets and compares our results to previous work.

II. METASTABILITY NEAR THE MEAN-FIELD SPINODAL

We consider a one-dimensional ferromagnetic system with long-range interactions prepared in equilibrium with an

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initially negative external field $h_{initial} < 0$. At time t=0, the system is quenched and the external magnetic field h is set to a positive value. The system then evolves toward metastable equilibrium. At some point after the quench, it will decay to the stable phase via nucleation.

The potential of the system is given by

$$V(\phi) = \epsilon \phi^2 + u \phi^4 - h \phi, \qquad (1)$$

where $\epsilon < 0$ and u > 0. For systems of interaction range *R*, the Ginzburg-Landau-Wilson Hamiltonian is

$$H[\phi] = \int dx \left[\frac{R^2}{2} \left(\frac{d\phi}{dx} \right)^2 + V(\phi(x)) \right].$$
(2)

We assume purely dissipative dynamics, given by the timedependent Ginzburg-Landau equation

$$\frac{\partial \phi}{\partial t} = -\frac{\delta H}{\delta \phi} + \eta = R^2 \frac{\partial^2 \phi}{\partial x^2} - 2\epsilon \phi - 4u\phi^3 + h + \eta, \quad (3)$$

where $\eta = \eta(x,t)$ is a zero-mean white noise with $\langle \eta(x,t) \eta(x',t') \rangle = 2\beta^{-1}\delta(x-x')\delta(t-t')$ and β is the inverse temperature. The mean-field metastable well vanishes when the applied magnetic field is the spinodal field, defined by $h_{sp}^2 = 8|\epsilon|^3/27u$. The corresponding mean-field spinodal magnetization satisfies $\phi_{sp}^2 = |\epsilon|/6u$. These quantities are obtained from setting $dV/d\phi = d^2V/d\phi^2 = 0$.

Under the given quench conditions, the spinodal field is positive, $h_{sp} \ge h > 0$, and the mean-field spinodal magnetization is negative, $\phi_{sp} < 0$. For convenience, we introduce $\Delta_h = h_{sp} - h$, a measure of the depth of the metastable well.

We expand the potential about the spinodal magnetization, ϕ_{sp} , retaining terms up to third order [20,28],

$$V = \phi_{\rm sp} \left(\Delta_h - \frac{3}{8} h_{\rm sp} \right) + \Delta_h (\phi - \phi_{\rm sp}) - \frac{1}{2} \frac{h_{\rm sp}}{\phi_{\rm sp}^2} (\phi - \phi_{\rm sp})^3 + O((\phi - \phi_{\rm sp})^4).$$
(4)

We concentrate on nucleation in the neighborhood of the metastable well. Near the spinodal, the details of the potential for large positive magnetizations have a negligible effect on nucleation. Therefore we drop the quartic term in the subsequent analysis. Within this cubic approximation, the location of the potential minimum is

$$\phi_{\min} = \phi_{\rm sp} \left[1 + \sqrt{\frac{2\Delta_h}{3h_{\rm sp}}} \right] < 0.$$
 (5)

We introduce the shifted field $\psi(x) = \phi(x) - \phi_{\min}$, so that the minimum of the mean-field potential occurs precisely at $\psi = 0$. We rewrite the truncated potential in terms of the shifted field and drop an overall constant to obtain

$$V(\psi) = -\frac{\sqrt{6h_{\rm sp}}\Delta_h}{2\phi_{\rm sp}}\psi^2 - \frac{1}{2}\frac{h_{\rm sp}}{\phi_{\rm sp}^2}\psi^3 = a\psi^2 - b\psi^3, \qquad (6)$$

where the variables $a=-\sqrt{6h_{sp}\Delta_h}/2\phi_{sp}>0$ and $b=a^2/3\Delta_h$ >0 are introduced to simplify the notation. Near the meanfield spinodal, the evolution of the field in the neighborhood of the metastable well is given by a Langevin equation

$$\frac{\partial \psi}{\partial t} = R^2 \frac{\partial^2 \psi}{\partial x^2} - 2a\psi + 3b\psi^2 + \eta.$$
(7)

We define the stationary configurations by setting the time derivative and the noise term to zero,

$$0 = R^2 \frac{d^2 \psi}{dx^2} - 2a\psi + 3b\psi^2.$$
 (8)

Equation (8) has two spatially uniform solutions: the stable configuration $\psi_{\min}(x)=0$ and an unstable configuration. There exists another nonuniform stationary configuration $\psi_S(x)$ that corresponds to the profile of the critical droplet in metastable equilibrium [19,20]. Because the droplet can appear anywhere in the system, we fix the center of the droplet at the origin. A droplet must be symmetric, $\psi_S(x)=\psi_S(-x)$, and cannot exhibit a sharp peak at its center, $\psi'_S(0)=0$. At large distances, the droplet profile approaches the metastable background, $\lim_{x\to\pm\infty}\psi_S(x)=0$. Solving Eq. (8), the nonuniform solution is

$$\psi_{\mathcal{S}} = \frac{a}{b} \cosh^{-2} \left(x \sqrt{\frac{a}{2R^2}} \right). \tag{9}$$

This stationary configuration is unstable: assuming noiseless dynamics, a random perturbation about ψ_S would cause the system to return to its metastable well with probability 1/2. Linear stability analysis about the nonuniform solution reveals a single negative eigenvalue -5a/2. The corresponding eigenvector gives the initial direction of growth (or decay) of the metastable equilibrium critical droplet [19,28,29],

$$\psi_{\mathcal{N}} = \cosh^{-3} \left(x \sqrt{\frac{a}{2R^2}} \right). \tag{10}$$

The amplitude of the growth eigenvector is concentrated at its center; a spinodal critical droplet grows by first filling its center [29].

III. CHARACTERIZING RELAXATION TO METASTABLE EQUILIBRIUM

In this section, we estimate the time dependent likelihood of field configurations, which is required to find transient critical-droplet profiles [30–32]. After the quench, the time evolution of the probability is given by the functional Fokker-Planck equation. We sidestep the formal solution and use a quasistatic mean-field theory. We assume that at a time t since the quench, the likelihood of a configuration in the metastable well can be approximated by

$$P[\psi|t] \approx C \exp\left\{-\beta \int dx \left[\frac{R^2}{2} \left(\frac{d\psi}{dx}\right)^2 + F_t\right]\right\}, \quad (11)$$

where *C* is an undetermined constant and $F_t = F_t(\psi)$ is a timedependent polynomial in the field. At t=0, Eq. (11) should agree with the equilibrium distribution prior to the quench. At long times, we must recover the metastable potential

$$\lim_{t \to \infty} F_t = V(\psi) = a\psi^2 - b\psi^3.$$
(12)

Because the quench only changes the external magnetic field, we assume that F_t differs from the metastable equilibrium potential given in Eq. (6) by a time-dependent effective external field J(t)

$$F_t = a\psi^2 - b\psi^3 + [h - J(t)]\psi.$$
 (13)

In the long-time limit, Eq. (12) implies that $J(t) \rightarrow h$. We expect that the quartic term can still be neglected since this approximation will be used when *t* is close to t_{eq} , when the system is in metastable equilibrium.

We choose J(t) so that the density given in Eq. (11) is consistent with the time evolution of the mean-field magnetization. In the mean-field limit, all fluctuations are suppressed and the system is described by a single scalar order parameter $\psi(x,t) \rightarrow m_t$. Within the spinodal approximation, the time evolution of the mean-field system is governed by the ordinary differential equation

$$\frac{d}{dt}m_t = -2am_t + 3bm_t^2. \tag{14}$$

The time evolution of the mean-field magnetization is

$$m_t = \frac{2am_0}{3bm_0 + (2a - 3bm_0)\exp(2at)},$$
(15)

where the initial condition m_0 denotes the prequench-shifted mean-field magnetization. In order that Eq. (11) reproduce the mean-field dynamics, F_t evaluated at m_t must be a minimum,

$$\left. \frac{dF_t}{d\psi} \right|_{\psi=m_t} = 0. \tag{16}$$

The expression for the time-dependent effective field is

$$J(t) = 2am_t - 3bm_t^2 + h.$$
 (17)

Formally, Eqs. (11), (13), and (17), define the first term of a large-R asymptotic expansion of the solution to the functional Fokker-Planck equation in the metastable well near the mean-field spinodal.

Both the location of the minimum of F_t and its curvature change as a function of t. For convenience, we introduce $\Delta_J = h_{sp} - J(t)$ and

$$A_t = \frac{1}{2} F_t''(m_t) = a \sqrt{\Delta_J / \Delta_h}, \qquad (18)$$

a measure of the curvature of F_t about its minimum. At long times, the mean-field magnetization approaches its meta-stable equilibrium value, $m_t \rightarrow 0$, which implies that $A_t \rightarrow a$ in the same limit.

For a fixed time t, we define a corresponding fictitious Langevin equation

where



FIG. 1. (Color online) The mean transient magnetization prior to nucleation (solid line) obtained from the solution of Eq. (3) compared to the average obtained from simulating Eq. (19) in meta-stable equilibrium (squares). Both curves rise above the metastable mean-field minimum (dashed line) given by Eq. (15).

$$\frac{\partial \psi(x,s)}{\partial s} = R^2 \frac{\partial^2 \psi}{\partial x^2} - F'_t(\psi) + \eta(x,s), \qquad (19)$$

where the coordinate *s* is distinct from the time *t* since the quench. Once in metastable equilibrium, Eq. (19) samples states from Eq. (11). We note that the states sampled by Eq. (19) must be restricted to those within the metastable well of the complete dynamics of Eq. (3). For example, configurations that would nucleate Eq. (19) must be discarded because they are not in the metastable well of the underlying dynamics. With this restriction, we use Eq. (19) to compare the statistics of Eq. (11) at a fixed time *t* to those generated from simulation of the complete dynamics in Eq. (3). Figure 1 compares the ensemble-averaged transient magnetization obtained from Eq. (3) to the metastable equilibrium magnetization sampled using Eq. (19) for various *t*.

To analytically estimate the transient magnetization of Eq. (11), we compute the stationary spatial average of Eq. (19),

$$0 = -2a\langle\psi\rangle + 3b\langle\psi^2\rangle + J(t) - h, \qquad (20)$$

which relates the first and second moments. The second moment can be approximated by the second moment of the Gaussian theory obtained by setting b=0,

$$\langle \psi^2 \rangle \approx m_t^2 + \frac{1}{2\beta R (2A_t)^{1/2}}.$$
(21)

Equations (20) and (21) yield an estimate of the magnetization as a function of A_t , defined in Eq. (18),

$$\langle \psi \rangle \approx m_t + G_t^{-1} > m_t, \tag{22}$$

wher

$$G_t = 4\beta R \Delta_h a^{-1} \sqrt{2A_t} \ge G_\infty. \tag{23}$$

This estimate of the magnetization also agrees with the average magnetization obtained from simulation, shown in Fig. 1.

IV. TRANSIENT NUCLEATION

At a time *t* after the quench, the transient critical droplet $\psi_{\mathcal{C}}(x,t)$ is determined by maximizing the probability functional in Eq. (11) constrained to the metastable well boundary \mathfrak{B} ,

$$\psi_{\mathcal{C}}(x,t) = \max_{\psi \in \mathfrak{B}} P[\psi|t].$$
(24)

Note that the dynamically defined stationary configuration ψ_S given in Eq. (9) lies on the boundary. Furthermore, we assume that our transient critical droplets resemble the dynamical fixed point ψ_S . In this limit, we approximate the metastable well boundary by a hyperplane [25] that is normal to the growth eigenvector ψ_N , given in Eq. (10). Figure 2 gives a schematic of the approximation for a two-dimensional system. Within this approximation, a boundary configuration $\psi_{\mathfrak{B}}$ satisfies

$$\int dx (\psi_{\mathfrak{B}} - \psi_{\mathcal{S}}) \psi_{\mathcal{N}} = 0.$$
 (25)

To find the configuration of maximum likelihood that satisfies the constraint, we introduce the Lagrange multiplier λ_t and extremize the functional

$$\int dx \left[\frac{R^2}{2} \left(\frac{d\psi}{dx} \right)^2 + F_t(\psi) - \lambda_t \psi \psi_{\mathcal{N}} \right].$$
(26)

Centered at zero, the time-dependent critical-droplet profile solves the Euler-Lagrange equation

$$R^{2}\frac{d^{2}\psi_{\mathcal{C}}}{dx^{2}} = 2a\psi_{\mathcal{C}} - 3b\psi_{\mathcal{C}} - J(t) + h - \lambda_{t}\psi_{\mathcal{N}}, \qquad (27)$$

where λ_t is chosen so that ψ_c satisfies the constraint in Eq. (25). For large *t*, the transient solution reduces to the dynamical fixed point, $\lim_{t\to\infty} \psi_c = \psi_s$. At earlier times, the equation and constraint can be solved numerically. Because we have assumed that the transient critical droplet resembles the fixed point, we expect $\lambda_t \ll 1$. This suggests a solution as a perturbation in λ_t . First, we take $\lambda_t=0$ and find the analog to Eq. (9),

$$\psi_{C}^{0}(x,t) = m_{t} + \frac{A_{t}}{b} \cosh^{-2}\left(x \sqrt{\frac{A_{t}}{2R^{2}}}\right).$$
 (28)

Generally, the perturbation series is

$$\psi_{\mathcal{C}}(x,t) = \psi_{\mathcal{C}}^0(x,t) - \lambda_t y(x,t) + O(\lambda_t^2).$$
⁽²⁹⁾

The resulting differential equation for y(x) is

$$R^{2} \frac{d^{2} y}{dx^{2}} = 2y(x)A_{t} [1 - 3\cosh^{-2}(x\sqrt{A_{t}/2R^{2}})] + \cosh^{-3}(x\sqrt{a/2R^{2}}).$$
(30)

We retain terms to first order in λ_t , and substitute Eq. (29)



FIG. 2. Schematic equipotential curves for a model system with two arbitrary dynamical variables Q_1 and Q_2 . The dashed line illustrates the linearization of the metastable well boundary.

into the constraint to find the following equation for the multiplier λ_t :

$$\lambda_t = \frac{\int dx [\psi_C^0(x,t) - \psi_S] \psi_N}{\int dx y(x) \psi_N}.$$
(31)

The effect of the constraint is to decrease the amplitude of the transient critical droplet.

With the transient droplet profiles characterized, we estimate the time-dependent nucleation rate. Given a time t before any nucleation event, there is unit probability of being in the metastable well. With this normalization, the nucleation rate is proportional to the probability to realize the transient critical droplet. We approximate this normalized probability by the relative likelihood of the transient critical droplet over the most likely metastable configuration, the uniform field with magnetization m_t ,

$$\Gamma(t) \sim \frac{P[\psi_{\mathcal{C}}(x,t)|t]}{P[\psi = m_t|t]}.$$
(32)

This rate estimate is simplified by defining the shifted effective potential

$$\Delta F_t(\psi) = F_t(\psi) - F_t(m_t) = A_t(\psi - m_t)^2 - 3b(\psi - m_t)^3.$$
(33)

With this definition, the transient nucleation rate is

$$\Gamma(t) = \Gamma_0 \exp\left\{-\beta \int dx \left[\frac{R^2}{2} \left(\frac{d\psi_c}{dx}\right)^2 + \Delta F_t\right]\right\}, \quad (34)$$

where Γ_0 is a prefactor that depends on the details of the system [33]. For the quench considered here, $\Gamma(t)$ is a strictly increasing function of time. The experimentally accessible nucleation time distribution is given by



FIG. 3. (Color online) The theoretical distribution of nucleation times computed from Eq. (35) (solid line) compared to the normalized histogram of nucleation times obtained from direct simulation of Eq. (3).

$$\rho(t) = \Gamma(t) \exp\left\{-\int_0^t dt \Gamma(t)\right\}.$$
 (35)

V. NUMERICAL CALCULATIONS

We simulated Eq. (3) for a periodic system of length $L=10^4$ where $\epsilon=-5/9$, u=1/4, R=10, and $\beta=10$. Prior to the quench, the system is prepared in equilibrium with an external field $h_{initial}=-1.40$ and shifted magnetization $m_0 = -0.727$. After the quench, the applied field is set to h = 0.430. The distance to the mean-field spinodal is $\Delta_h = 0.021$, and the location of the metastable minimum is given







FIG. 5. (Color online) Theoretical critical-droplet magnetization profiles from Eq. (29) computed at t=4.5 (solid line) and t=7.5 (dashed line).

by $\phi_{\min} = -0.715$. The corresponding parameters in the cubic approximation are a = 0.195 and b = 0.609.

Throughout the run, the configuration of the system is saved periodically. Once the system has nucleated, we search for the nucleating droplet and nucleation time. We load a saved configuration and find the latest configuration that, upon perturbation, drifts to the stable phase with probability 1/2 [26]. We do not consider runs where multiple droplets appear at the nucleation time. The nucleation times were binned and compared to the theoretical results (see Fig. 3) where the free parameter Γ_0 was chosen to produce the best fit. Ensemble-averaged nucleating droplet profiles for two different times are plotted in Fig. 4. The corresponding theoretical critical droplets obtained from Eq. (29) are plotted in Fig. 5.

Figures 4 and 5 differ slightly because they represent different statistical quantities. The cubic term in Eq. (11) gives rise to a skew in the distribution. Consequently, average and extremal quantities do not agree. The same effect is demonstrated in Fig. 1 and Eq. (22), where the transient system magnetization is found to differ from the mean-field magnetization. For distributions that are sufficiently peaked, we expect the discrepancies to vanish [19,20]. This occurs when the metastable-equilibrium Ginzburg parameter G_{∞} given in Eq. (23) is large. In the case considered here, the Ginzburg parameter is finite, G_{∞} =26, resulting in the contrast between the averaged configurations and the extremal configurations. However, a large G_{∞} suppresses the nucleation rate, Γ $\sim L \exp(-G_{\infty})$, and obscures transience in the nucleating droplets. To preserve the transient-nucleation regime while increasing the Ginzburg parameter, the system size L must scale exponentially with G_{∞} .

VI. SUMMARY AND DISCUSSION

We considered transient nucleation in a long-range onedimensional ϕ^4 model with dissipative dynamics. We defined the metastable well boundary as the set of configurations balanced between the metastable and stable phases. We estimated the time-dependent likelihood of system configurations. We defined the transient critical droplet as the most likely configuration constrained to lie on the metastable well boundary. We computed the likelihood of the transient critical droplet at various times. Our results explain the nonstationarity of nucleation rates reported in studies of the timedependent Ginzburg Landau equation.

As presented here, the theory is applicable to systems near metastable equilibrium where the system magnetization evolves slowly compared to the relaxation of other dynamical variables, as is the case near a spinodal.

The theory provides a qualitative picture of the measured ensemble-averaged profiles without any free parameters. The analysis produces a distribution of nucleation times consistent with the simulation results with a single free parameter.

Our analysis reduces to the earlier work [20] at long times, when the system has relaxed to metastable equilib-

rium. We found that transient droplets decay to the background magnetization in the system at the time of nucleation. Before metastable equilibrium, the background magnetization acts as an anchor: transient droplets must have greater amplitude, which in turn suppresses their rate of formation. Furthermore, this suggests that in comparable experiments, transient effects result in configurations that are more compact than predicted by the metastable equilibrium analysis. Moreover, in systems with a stable crystalline phase, these results imply that transience may determine the symmetry of critical droplets when nucleating near a spinodal.

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